# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dhrubajyoti Borthakur, Nur Premo

and Joseph Pasqua

Serial No. 10/723,729

Filed: November 26, 2003

For: System and Method for Detecting

> and Storing File Content Access Information Within a File System

Group Art Unit: 2163

Examiner: Le, Uyen T.

Atty. Dkt. No.: 5760-16000

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

August 21, 2006

## **FEE AUTHORIZATION**

§ § §

§ § §

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5760-160000:

Fee:

Information Disclosure Statement

Amount

\$180.00

Attorney Docket No.: 5760-160000

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number.

Respectfully submitted,

B. Noël Kivlin Reg. No. 33,929

Attorney for Applicant(s)

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.

P.O. Box 398

Austin, TX 78767-0398

(512) 853-8800

Date: August 21, 2006

| ication of:                    | § | Group Art Unit: 2 | 2163 |
|--------------------------------|---|-------------------|------|
| rubaiyoti Borthakur, Nur Premo | 8 |                   |      |

Examiner: Le, Uyen T. and Joseph Pasqua

Atty. Dkt. No.: 5760-16000 Serial No. 10/723,729 I hereby certify that this correspondence is being deposited with

the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Filed: November 26, 2003 Alexandria, VA 22313-1450, on the date indicated below:

§ § § For: System and Method for Detecting and Storing File Content Access Information Within a File System

Date Signature

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

| Applio        | cant requests consideration of  the references listed on the attached Form PTO-                                                  |
|---------------|----------------------------------------------------------------------------------------------------------------------------------|
| 1449 and/or 🛭 | the additional information identified below in paragraph 3.                                                                      |
| $\boxtimes$   | Pursuant to CFR §1.98(a)(2)(i), copies of the cited U.S. patents and/or U.S. paten                                               |
| $\bowtie$     | application publications are not enclosed.                                                                                       |
|               | A copy of each non-U.S. patent and/or non-U.S. patent application publication reference listed on the Form PTO-1449 is enclosed. |
|               | The non-U.S. patent and/or non-U.S. patent application publication references                                                    |
|               | listed on the Form PTO-1449 were previously cited in application serial no.                                                      |
|               | to which the captioned application is seeking priority under 35                                                                  |
|               | U.S.C. §120; therefore, a copy of each reference is not enclosed.                                                                |

### This Information Disclosure Statement is submitted: 1.

| a. | within 3 months of the filing date of a national application other than a     |
|----|-------------------------------------------------------------------------------|
|    | <br>continued prosecution application under § 1.53(d);                        |
|    | within 3 months of the date of entry of the national stage as set forth in §  |
|    | <br>1.491 in an International application;                                    |
|    | before the mailing date of a first Office Action on the merits; or            |
|    | before the mailing of a first Office Action after the filing of a request for |
|    | continued examination under § 1.114.                                          |

|    | b.        |                               | after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:   the certification of paragraph 2 below is provided, or   a fee of \$180.00 is enclosed.                                                                                                                                 |
|----|-----------|-------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|    | <b>c.</b> |                               | after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.                                                                                                                                           |
| 2. | It is he  | ereby cer                     | tified:                                                                                                                                                                                                                                                                                                                                                   |
|    |           | was cite                      | ch item of information contained in this Information Disclosure Statement ed in a communication from a foreign patent office in a counterpart foreign tion not more than three months prior to the filing of the Statement, or                                                                                                                            |
|    |           | was cite<br>applica<br>making | item of information contained in the Information Disclosure Statement ed in a communication from a foreign patent office in a counterpart foreign tion or, to the knowledge of the person signing the certification after reasonable inquiry, was known to any individual designated in § 1.56 (c) can three months prior to the filing of the Statement. |
| 3. |           |                               | eration of the following additional information (including any co-pending doned U.S. applications, prior uses and/or sales, etc.) is requested:                                                                                                                                                                                                           |
| 4. | For ea    | ch non-E                      | English language reference listed on the attached Form PTO-1449:                                                                                                                                                                                                                                                                                          |
|    |           | reference                     | ce is made to an English language translation submitted herewith, and/or                                                                                                                                                                                                                                                                                  |
|    |           |                               | ce is made to a foreign patent office search report (in the English language) and herewith, and/or                                                                                                                                                                                                                                                        |
|    |           |                               | ce is made to an English language translation of a foreign patent office report submitted herewith, and/or                                                                                                                                                                                                                                                |
|    |           |                               | ce is made to the concise explanation contained in the specification of the application at page(s), and/or                                                                                                                                                                                                                                                |
|    |           | reference                     | ce is made to the concise explanation set forth below:                                                                                                                                                                                                                                                                                                    |
| 5. |           | Applica                       | ant also offers the following comments for the Examiner's consideration:                                                                                                                                                                                                                                                                                  |
| 6. |           | Also en                       | closed is a copy of a foreign search report citing these references.                                                                                                                                                                                                                                                                                      |
|    |           |                               |                                                                                                                                                                                                                                                                                                                                                           |

- 7. The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
- 8. Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5760-16000.

Respectfully submitted,

B. Noël Kivlin Reg. No. 33,929

Attorney for Applicant(s)

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.

P.O. Box 398

Austin, TX 78767-0398

(512) 853-8800

Date: August 21, 2006

| Form PTO-1449 (modified)                                    |              |                                                          | ATTY. DK                    | SER                                     | SERIAL NO. 10/723,729 |              |                               |  |
|-------------------------------------------------------------|--------------|----------------------------------------------------------|-----------------------------|-----------------------------------------|-----------------------|--------------|-------------------------------|--|
| List of Patents and Publication For Applicant's Information |              |                                                          | APPLICAN                    | GRC                                     | GROUP: 2163           |              |                               |  |
| Disclosure Statement                                        |              |                                                          | APPLICANT: Borthakur, et al |                                         |                       | GROOI: 2103  |                               |  |
| (U                                                          | se severa    | sure Statement 2 3 2006 all sheets if necessary 2 3 2006 | FILING DA                   | TE: November 26, 2003                   |                       |              |                               |  |
|                                                             |              | \ <del>\</del>                                           | S. PATENT                   | <b>DOCUMENTS</b>                        |                       |              |                               |  |
| EXAM.<br>INITIALS                                           | REF.<br>DES. | DOCUMENT COMPER                                          | DATE                        | NAME                                    | CLASS                 | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |  |
| ·                                                           | B1           | 6,286,013                                                | 09-04-2001                  | Reynolds, et al                         |                       |              |                               |  |
|                                                             | B2           | 2005/0015461                                             | 01-20-05                    | Richard, et al                          |                       |              |                               |  |
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|                                                             | ļ            |                                                          | *                           |                                         |                       |              |                               |  |
|                                                             | <u> </u>     | FOR                                                      | FIGN PATE                   | NT DOCUMENTS                            |                       |              |                               |  |
| EXAM.                                                       | REF.         | DOCUMENT NUMBER                                          | DATE                        | COUNTRY                                 | CLASS                 | SUB          | TRANSLATION                   |  |
| INITIALS                                                    | DES.         | DOCOMENT NOMBER                                          | DATE                        | COUNTRY                                 | CLASS                 | CLASS        | YES/NO                        |  |
|                                                             |              |                                                          | :                           | *************************************** |                       |              |                               |  |
|                                                             |              |                                                          |                             | 4                                       | *                     | e e          |                               |  |
|                                                             |              | OTHER ART (1                                             | Including Author            | or, Title, Date, Pertinent Pa           | ges, Etc.)            |              |                               |  |
|                                                             | В3           | Vogels, "File System Usag<br>December 1999, Kiawah I     |                             | IT 4.0", Symposium on Oper<br>93–109.   | ating Syste           | ms Principl  | les 17,                       |  |
|                                                             |              |                                                          |                             |                                         |                       |              |                               |  |
|                                                             |              |                                                          |                             |                                         |                       |              |                               |  |
|                                                             | <u> </u>     |                                                          |                             |                                         |                       |              |                               |  |
|                                                             |              |                                                          |                             |                                         |                       |              |                               |  |

**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.